



ARIZONA BRANCH

We thank you for requesting an account with PTI Securities & Futures L.P.

As a registered broker-dealer, we are required to maintain certain records and forms for each account. The forms required for your account are included in this package. **Please complete and sign all the forms and return them in the enclosed self-addressed envelope.** After the account has been opened, a complete copy of the forms will be mailed to you with your account number.

The following fee schedule reflects our goal for you to become as proactive as possible regarding your investment decisions.

Broker Assisted Trades:

Equities, 1 ½% or a minimum of \$40

Options, \$75 first 10 contracts, \$5 each contract after 10

To fund your account, you may mail a check or wire money to Mesirow Financial Inc.

Make Checks Payable to:

MESIROW FINANCIAL INC.

(Put your account number on the check)

Mail to: Mesirow Financial Inc.
350 North Clark Street
Chicago, IL 60610
Attn: Cash Management

Wiring Instructions:

To: Bank of New York
New York City, NY

ABA: 021000018

FAO: Mesirow Financial Inc.
350 North Clark Street
Chicago, IL 60610

A/C: 8900366540

Further Credit to: Your Account Title
Your Account Number

All of us at PTI Securities & Futures look forward to a pleasant and profitable relationship.